



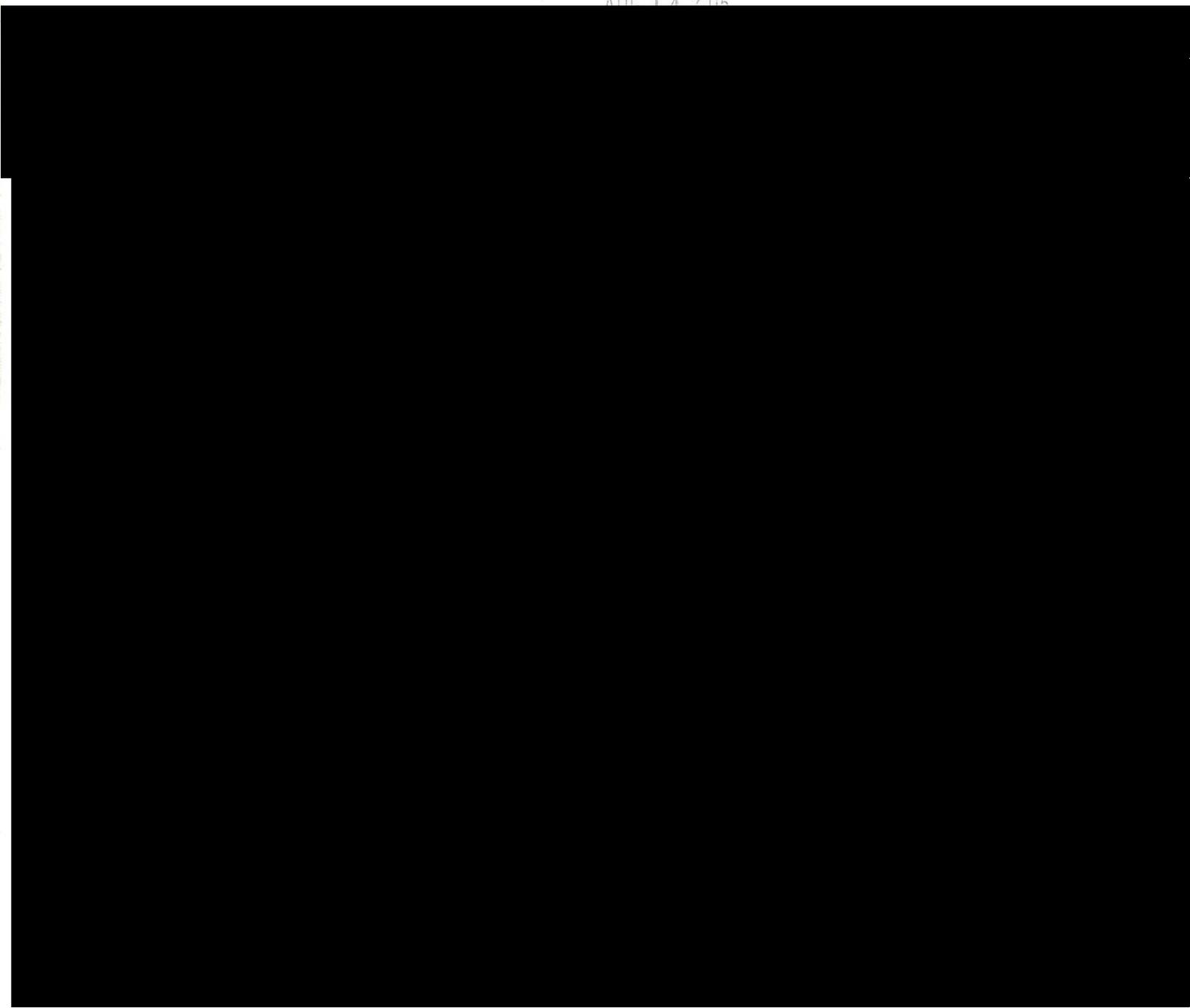
**NEW YORK STATE
DEPARTMENT OF LABOR
APPRENTICE TRAINING PROGRAM TRANSMITTAL**

Sponsor Code: _____

ATP Code: 43363

SPONSOR <u>S & R PLUMBING & HEATING INC</u>		DISTRICT <u>HV</u>
NAME, LOCAL NO. AND ADDRESS OF UNION (If None, Write None) <u>14 MT. EPO ROAD SOUTH SUITE 11 BREWSTER, NY 10509</u>		
TRADE / OCCUPATION <u>PLUMBER & PIPE FITTER</u>		REQUESTED DATE <u>7/21/2015</u>
<input checked="" type="checkbox"/> INDIVIDUAL	<input type="checkbox"/> JOINT	<input type="checkbox"/> JAC
<input type="checkbox"/> GROUP	<input checked="" type="checkbox"/> NON - JOINT	<input checked="" type="checkbox"/> NON - JAC
INDENTURED BY		<input checked="" type="checkbox"/> STATE
<input checked="" type="checkbox"/> EMPLOYER	<input type="checkbox"/> AGENT	<input type="checkbox"/> FEDERAL

NEW PROGRAM (EXPLAIN "YES" ANSWERS IN COMMENTS BELOW) **RECEIVED** Date A.A. Plan / /
and Program Copy to DEOD





New York State Department of Labor

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JUL 20 2015

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Apprentice Training Program Registration Agreement

State Use Only
AT sponsor no.
ATP code 13363
Effective date of AT program

Revision (nature of change)

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APPRENTICE TRAINING
CENTRAL OFFICE

- Name of sponsor: STR Plumbing + Heating Inc.
- Mailing address: 16 Mt. Ebo Road South, Brewster, NY 10509 Putnam
(number & street) (city) (state) (zip code) (county)
- Actual address: same
(number & street) (city) (state) (zip code) (county)
- Telephone no.: 845-278-4204 (telephone #) (ext. #) (fax #) 845-278-1889
- Trade/Occupation: plumber/pipefitter
- No. employees: 9 No. apprentices: _____ No. journeyworkers: 4 7. Ratio: 1:1.3 (non-standard)
- ISC code: _____ 9. DOT code: 862-381-030 10. Length of program: 60 months
- Apprentice probationary period: 12 months 12. Work process: Standard or Revised
- Minimum journeyworker rate: \$ 25 per hour 14. Effective date of wages: 7/15/15
- Apprentice wage progression for each period - in months (M) or hours (H)

1	2	3	4	5	6	7	8	9	10
M <input checked="" type="checkbox"/> 12 H <input type="checkbox"/>	M <input type="checkbox"/> H <input type="checkbox"/>								
12	14	16	19	21					

16. The sponsor agrees to comply with the provisions on this side and on the reverse side of this agreement.

17. Tom Ruff 7/15/15
Signature of official sponsor representative Date
Tom Rufford, President
Print name and title
Patricia Franklin, office manager 7/31/15

18. _____ 1/1
Signature of union representative Date

Print name, title, and union name

19. _____ 1/1
Signature New York State Department of Labor Date



New York State Department of Labor

Sponsor Information Sheet

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APPROVISED TRAINING CENTER OFFICE

Instructions:

- Please complete all questions. If a question does not apply to your entity, please enter "N/A."
You must include an explanation and supporting documentation for all "yes" responses.
For Individual Joint Programs, you must submit a completed AT 9 form from the applicant/sponsor and from the union.
For Individual Non-Joint Programs, you must submit a completed AT 9 form from the applicant/sponsor only.
For Group Joint Programs, you must submit a completed AT 9 form from the applicant/sponsor and each signatory company and union that serves on the governing body as a Joint Apprenticeship Training Committee (JATC) member. Only one AT 9 is required per union.
For Group Non-Joint Programs, you must submit a completed AT 9 form from the applicant/sponsor and each signatory company that serves on the Board of Directors or other governing body of the applicant/sponsor.
You must have the completed form notarized by a Notary Public or certified by a Commissioner of Deeds or we will not accept it.

Form with fields for Sponsor Name (STR Plumbing + Heating Inc.), Trade Name (PLUMBER & PIPE FITTER), Name of Entity, Mailing Address (16 Mt. Ebo Rd South, Suite 11), Fax No. (845-278-1889), Phone No. (203-278-4204), E-mail Address (srplumbing@comcast.net), Type of Entity (Corporation checked), and years in business (18 years).

Answer all questions below. For all yes responses, attach explanation and/or appropriate documentation. Within the past five (5) years has your organization, any affiliate, any predecessor company or entity, owner of 5% or more of the entity's shares, director, officer, partner, or proprietor been the subject of:

Table with 2 columns: Question and Yes/No response. Questions include: A conviction for a crime under state or federal law?, An indictment or pending indictment for any conduct constituting a crime under state or federal law?, A grant of immunity for any conduct constituting a crime under state or federal law?, A federal suspension, debarment, bid rejection or disapproval of any proposed contract or subcontract for lack of responsibility; or denial or revocation of pre-qualification for any bid in any state or municipality, or a voluntary exclusion agreement?, Any pending or open investigation of a possible violation of any federal law or regulation, including but not limited to, investigations by the National Labor Relations Board (NLRB), Occupational Safety and Health Administration (OSHA), or US Department of Labor (USDOL) Wage and Hour Division?, Any determination of a violation of a federal law or regulation including, but not limited to, determinations by the NLRB, OSHA, or the USDOL Wage and Hour Division?, Any pending or open investigation of a possible violation of New York State, any other state, or municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work?, Any determination of a violation of any state or municipal law or regulation, including, but not limited to, Public Work violations? If yes, was the violation determined to be willful?, Any stipulations, settlement, consent order or like agreement involving any state, municipal or federal enforcement action (judicial or regulatory)?, Any investigations, claims or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of Human Rights, federal or state courts or local Civil Rights Commissions?

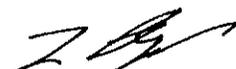
Certification – I, the undersigned recognize that I submit this questionnaire to permit the New York State Department of Labor to review the background of the applicant, sponsor, signatories or union at the time of this new program application, during program probation, at recertification, or as otherwise deemed appropriate by the Department.

Applicant, sponsor, signatory or union:

- Certifies that the Department may use its sole discretion to choose the means to determine the truth and accuracy of all statements made herein
- Certifies that intentional submission of false or misleading information may constitute a Class A misdemeanor under Penal Law (PL) § 210.35, and may be punishable by a fine of up to \$1000 (PL § 80.05(1)) and/or imprisonment of up to one year (PL § 70.15(1))
- Certifies that the information submitted in this questionnaire and any attached pages is true, accurate and complete

This authorizes the New York State Department of Labor to release any Unemployment Insurance (UI) information it may possess to the Apprentice Training Office to verify information and UI compliance in connection with this application or program.

The undersigned recognizes that any adverse information uncovered regarding any applicant, sponsor, or signatory or union participating in a Joint Apprenticeship Committee, or other sponsoring association, may adversely affect the sponsor's application request or program. Signing this document constitutes permission to release this information (including UI information) concerning the entity completing this form to the program sponsor.



Signature of CEO, Chair or Representative granted legal authority to bind the Entity

6/16/15 Tom Reich President

Date Print Name and Title

Sworn to me this: 16th day of June 2015



Signature of Notary Public or Commissioner of Deeds

CHRISTOPHER SCUDDER
NOTARY PUBLIC
CONNECTICUT
MY COMMISSION EXPIRES 07/31/2019



NEW YORK STATE DEPARTMENT OF LABOR
RELATED INSTRUCTION AVAILABILITY

Trade plumber + pipefitter		
Sponsor Name STR Plumbing + Heating Inc.		
Sponsor's Representative Tom Raiford		
Sponsor Address (No. & Street) 16 Mt. Ebor Rd South		(City) Brewster
(County) Putnam	(State) NY	(Zip Code) 10509
Sponsor Telephone No. 845-278-4204		
Proposed Number of Apprentices 1		

RELATED INSTRUCTION IS NOTAVAILABLE

RELATED INSTRUCTION ISAVAILABLE AT:

Name of School
Henry Abbott Tech
Address
21 Hayestown Ave
Address
Danbury Ct 06811
School Representative:



AT Office Name and Address:
NYS DEPARTMENT OF LABOR
WORKFORCE DEV & TRAINING DIV
75 VARICIE ST
7TH FLOOR
NEW YORK, NY 10013

Name and Address of DLEA:
CLAUDIA MURPHY
SOUTHERN WESTCHESTER BOCES
450 MAMARONECK AVE
HARRISON, NY 10528

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Apprentice Training Representative:

AUG 14 2015

Signature of D

Date Prepared:
7/21/2015

APPRENTICE TRAINING
CENTRAL OFFICE

Date Prepared:

AT 8 (05/08)

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BUREAU QUALITY ASSURANCE



**New York State
Department of Labor
Apprentice Training Recruitment Notification and
Minimum Qualifications**

S+R Plumbing + Heating Inc , located at

16 Mt. Ebo Road South, Suite 11, Brewster, NY 10509
(Address)

is presently accepting applications for an estimated 1 apprentice training positions in
(No. of openings)

the occupation of plumber/pipfitter
(Trade)

If you are interested in taking advantage of this training opportunity and meet the following qualifications, you are eligible to apply.

Minimum Qualifications

Minimum age: 18

Minimum education: G.E.D, High School Diploma, TASC

Physical condition: Be physically able to perform the work required as determined by _____

(Note: Costs for medical examination, if required, are at the expense of the sponsor. Additionally, any testing fees and permitted application fees charged to an applicant may not result in a profit for the sponsor.)

Other: Has a valid drivers license to drive company vehicles on public roads

Other: Has transportation to school and work

Other: _____

Application forms may be obtained from:

Name: Pattie Franklin

Address: 16 Mt. Ebo Road South
Brewster, NY 10509

Phone number: (845) 278-4204

Special instructions: _____

Dates: From: 7/15/15 to: TBA

Days: mon, wed, Thurs, Fri

Times: 10-4

Email address: srplumbing@comcast.net

All applications must be received/postmarked (please circle) no later than _____

See Instructions on Reverse Side

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NEW YORK STATE DEPARTMENT OF LABOR
SELECTION STANDARDS AND EVALUATIONS

NAME OF CANDIDATE		TRADE <u>Plumber/Pipefitter</u>		
ADDRESS <u>16 Mt. Ebo Road South, Suite 11, Brewster NY 10509</u>		CITY	STATE	ZIP

ONLY THOSE CHECKED APPLY

EDUCATIONAL ACHIEVEMENT

- 1 POINTS FOR EACH YEAR OF EDUCATION PAST GRADE 12 OR EQUIVALENT AS RECOGNIZED BY LOCAL EDUCATIONAL AUTHORITIES
- 1 POINTS FOR EACH YEAR OF RELATED TECHNICAL EDUCATION PAST GRADE 12 OR EQUIVALENT AS RECOGNIZED BY LOCAL EDUCATIONAL AUTHORITIES
- 1 POINTS FOR EACH TRADE RELATED ADULT OR CONTINUING EDUCATION COURSE COMPLETED
- OTHER _____

	MAXIMUM POINTS ALLOWABLE	NUMBER OF YEARS CREDITED	SCORE
TOTAL	<u>20</u>		
	<u>5</u>		
	<u>10</u>		
	<u>5</u>		

WORK EXPERIENCE

- 1 POINTS FOR EACH YEAR OF TRADE RELATED WORK EXPERIENCE
- POINTS FOR EACH YEAR OF ACTIVE MILITARY EXPERIENCE
- 1 POINTS FOR EACH YEAR OF GENERAL WORK EXPERIENCE
- OTHER _____

TOTAL	<u>30</u>		
	<u>15</u>		
	<u>15</u>		

SENIORITY

- 2 POINTS FOR EACH YEAR OF EMPLOYMENT WITH THE SPONSORING FIRM
- OTHER _____

TOTAL	<u>10</u>		
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JOB APTITUDE

- SATB (SPECIFIC APTITUDE TEST BATTERY) # _____ POINTS FOR HIGH _____ MEDIUM _____ LOW _____
- NAME OF ALTERNATIVE APTITUDE TEST _____
- ADMINISTERED BY _____
- OTHER _____

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TOTAL			
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ORAL INTERVIEW: NOT TO EXCEED 40% OF TOTAL SCORE

- 1 ABILITY TO COMMUNICATE
- 1 WILLINGNESS TO ACCEPT OBLIGATION OF APPRENTICESHIP
- 1 ABILITY TO REASON AND COMPREHEND
- 1 INTEREST AND MOTIVATION
- OTHER _____
- OTHER _____

TOTAL	<u>40</u>		
	<u>10</u>		

TOTAL ALLOWABLE POINTS

<u>100</u>	TOTAL SCORE	
------------	-------------	--

RANK _____

EVALUATED BY _____ DATE _____

SPONSOR NAME S+R Plumbing + Heating Inc

SPONSOR ADDRESS 16 Mt. Ebo Road South, Suite 11, Brewster, NY 10509

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S+R Plumbing + Heating Inc
16 Mt. Ebo Road South
Suite 11
Brewster NY 10509

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NYC/DEWS
BUREAU QUALITY ASSURANCE
**NON-DISCRIMINATION PLAN
(SHORT FORM)**

A. **EQUAL OPPORTUNITY PLEDGE:** OUR COMPANY RECOGNIZES THAT ALL PERSONS SHALL HAVE EQUAL OPPORTUNITY IN EMPLOYMENT AND APPRENTICESHIP TRAINING, and agrees to adhere to the following:

"The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation Of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. **SEXUAL HARASSMENT PREVENTION POLICY:** OUR POLICY IS THAT SEXUAL HARASSMENT IS PROHIBITED. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- submission to such contact is made either explicitly or implicitly a term or condition of an individual's employment.
- submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; or
- such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an apprentice, the program's apprenticeship administrator and the NYS Division of Equal Opportunity Development must be notified of the complaint.

C. **MINIMUM QUALIFICATIONS AND SELECTION STANDARDS:** It is agreed that the minimum qualifications and selection standards utilized will be those listed on Form AT 505, Notice of Apprentice Training Opportunity, and Form AT 508, Selection Standards and Evaluation, attached.

D. **RECRUITMENT:** It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One):

- () Listing all apprentice openings with the NYS Department of Labor Division of Employment Services for a minimum of five full working days before selections are made.
- () Limiting recruitment to present employees of the sponsor and/or union members of the union sponsoring the apprenticeship program. Resulting vacancies will be listed with the NYS Department of Labor Division of Employment Services.
- Recruiting apprentices by methods other than those above. A detailed statement of the recruitment method must be attached and approved by the Commissioner of Labor prior to being used.

On behalf of the above named sponsor, I certify that it is our intent to fulfill these Equal Opportunity Standards.

Signature of Sponsor: _____

Tom Ryz
The above signature must be the employer's Chief Executive Officer or the Chair of the Joint Apprenticeship Committee or their authorized representative.

7 / 15 / 15
Date