

Division of Labor Standards Permit and Certificate Unit Harriman State Office Campus Building 12, Room 185B Albany, NY 12240 www.labor.ny.gov

## **Application for Employment Agency License**

Use this form to apply for an Employment Agency License. Answer all questions. Attach additional sheets as needed.

- Mail the signed application to the address above.
- Be sure to include all the required information and documents listed on page 4.
- Once licensed, any change to the agency name, address, manager, ownership, partners, officers or stockholders
  requires prior approval by the Department of Labor for the license to remain valid.

1. Name agency will do b	usiness under:							
2. Agency location: Stree	et number and street:							
City,	Town, Village:		County:	Zip:				
3. Agency phone number:		4. Federal Employer Identification Number (FEIN):						
5. Main type of placemen	ts:							
· ·		•	e: Placement employees are those when the plicants for the purpose of placing or	•	•			
7. Type of Owner (check	one):   Sole proprietor	☐ Partners	ship   Corporation					
8. List the name, address	, title and phone number of:	ı •						
The owner, if a so	ole proprietor							
<ul> <li>Each partner, if a</li> </ul>	partnership							
	17.	nolder owning	g 10% or more stock, if not publicly tr	aded				
Applicant's Name and Home Address			Title	Home Phone				
		ļ						
		ļ						
9. For each person in iten	n 8, list all the business activ	vities they er	ngaged in for the five years before thi	s application	on's date.			
Name of Person	Name and Address of	f Firm	Activity	Date	Date			
				Started	Ended			
				+				

surrendered?  Yes				details and		•	ided, revoked, or
Name of Person	Date of Action	Name and Address of Business (City, Town, Village, State)		Nature of Business	Reason for Denial, etc.		
11. Was anyone in item 8 eve	er convicted f "Yes," give				ian a ti	raffic infraction?	
Name of Person	Name of Person Offense		Date Convicted		City, Town, Villag	Penalty	
12. Give the name of the pe						Note: If this perso	on is not listed in item 8,
submit an "Application for		-			•		
<ol> <li>Work history: Give a detain or in related activities; inc</li> </ol>		-		worked for	as a p	lacement employe	e, vocational counselor,
Employer Name and Address (List the last employer first.)		Date Date Started Ended		<ul> <li>Duties: List Percent (%) of Time Spent on:</li> <li>a) interviewing and counseling applicants</li> <li>b) screening, selecting and placing applicants</li> <li>c) soliciting and obtaining job orders</li> <li>d) preparing job descriptions, etc.</li> <li>e) non-related duties</li> <li>Give Name and Title of Immediate Supervisor.</li> </ul>			

<ul> <li>14. Will applicant recruit people from outside the state for ☐ Yes ☐ No If "Yes," give details regarding emigrant agent's license.</li> </ul>						
Name and Address of Emigrant Agent Include country, if not operating in the US	License Number	Expiration Date	Name, Address and Title of Issuing Official			
15. Does applicant intend to provide or arrange for lodging for applicants for employment or for people doing business with the agency?   No If "Yes," give details for each location.						
Name and Address of Premises Where Lodging will be	Furnished	Phone	Name of Person in Charge			
16. Does applicant provide hospitalization insurance for domestics or household employees from overseas?  ☐ Yes ☐ No If "Yes," give name of insurance company and policy number.						
Insurance company name: Policy number:						
<ul> <li>17. I swear the statements made in this application are true and accurate under the penalties of perjury.</li> <li>If sole-proprietor, signature of owner.</li> <li>If a corporation, signatures of president and treasurer.</li> <li>If a partnership, signatures of all partners. Attach addition sheets as needed.</li> </ul>						
Applicant's Signature(s)		Title		Date		

All information and material submitted is subject to investigation by this Department.

Be sure to include the following information and documents with your application. Missing information or documents may result in delays or your application could be denied.

- 1. **A surety bond** naming the "People of the State of New York" as obligee. The penal sum is \$5,000, unless the agency is a modeling agency or agency engaged in recruiting domestic or household employees from outside the continental United States, then the penal sum of \$10,000 is required.
- 2. Two statements of character (LS 361) for each person listed in item 8.
- 3. **Fee:** Check or money order for the required fee, payable to the Commissioner of Labor (see LS 355.3 for fees).
- 4. Two samples each of:
  - Applicant Contract
  - Terms and Conditions
  - · Receipt, and
  - Each form that an applicant for employment is required to execute
- 5. **Emigrant Agent's License**: Photocopies of each licensed emigrant agent's license (see item 14).
- 6. **A certified copy of certificate of doing business** filed with the county clerk, if sole owner or partnership, or
  - A photocopy of corporate filing receipt filed with Secretary of State, if a corporation
- 7. From your insurance company a completed:
  - C-105.2, proving workers' compensation insurance coverage, and
  - DB-120.1, proving disability insurance coverage (Other acceptable forms of proof: U-26.3 from SIF; if self-insured, SI-12 or GSI-105.2 for WC and DB-155 for disability.)
  - Or, if you are not liable for Workers' Compensation and/or disability insurance, a completed:
  - **CE-200** form. This form is available at www.wcb.ny.gov. Click on "WC/DB Exemptions," then click on "Request for WC/DB Exemption." You may contact the Workers' Compensation Board at 866-298-7830 for help getting this form. When calling, wait until after the menu finishes for someone to help you.
- 8. **Corporate minutes:** If a corporation, a conformed or photocopy of corporate minutes showing election of officers.
- 9. **Fingerprint receipt:** A copy of your receipt from Morpho Trust USA for the required reports on fingerprint search and verification of each person listed in item 8 of this application.
  - Note: The actual reports must be submitted to this office by Morpho Trust USA to complete your application. See accompanying "fingerprinting" sheet, LS-358, for instructions including fees.

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